

CalPERS Ethics Helpline

REPORT STATUS SUMMARY

9/13/2010 – 7/15/11

#	Case ID Number	Issue Reported	Anonymous Report Submitted	Days Open as of 7/15/11	Pending	Closed	Intake Date	Closed Date
1.	2011-129	Retirement Benefits Fraud or Abuse	YES	23	•		6/23/11	
2.	2011-128	Conflict of Interest	YES	44		•	6/22/11	8/4/11
3.	2011-127	Other-Member Inquiry-Retiree Benefits	YES	8		•	6/22/11	6/29/11
4.	2011-126	Other-Member Inquiry-Retiree Benefits	NO	14		•	6/16/11	6/29/11
5.	2011-125	Human Resources	YES	23		•	6/15/11	7/7/11
6.	2011-124	Human Resources	YES	17		•	6/9/11	6/25/11
7.	2011-123	Human Resources	YES	37	•		6/9/11	
8.	2011-122	Discrimination-Harassment	YES	33		•	6/9/11	7/11/11
9.	2011-121	Discrimination-Harassment	YES	36		•	6/6/11	7/11/11
10.	2011-120	Other-Member Inquiry-CalPERS Membership	NO	44	•		6/2/11	
11.	2011-119	Regulatory Compliance	YES	44	•		6/2/11	
12.	2011-118	Other-Member Inquiry-Retiree Benefits	NO	17		•	6/1/11	6/17/11
13.	2011-117	Retaliation	NO	8		•	6/1/11	6/8/11
14.	2011-116	Other-Member Inquiry	YES	63		•	5/31/11	8/1/11
15.	2011-115	Other-Member Inquiry-Retiree Benefits	NO	52	•		5/25/11	
16.	2011-114	Human Resources	YES	8		•	5/25/11	6/1/11

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17.	2011-113	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	52	•		5/25/11	
18.	2011-112	Human Resources	YES	53	•		5/24/11	
19.	2011-111	Human Resources	NO	27		•	5/13/11	6/8/11
20.	2011-110	Human Resources	YES	14		•	5/12/11	5/25/11
21.	2011-109	Other-Member Inquiry-Retiree Benefits	NO	31		•	5/10/11	6/9/11
22.	2011-108	Fraud	NO	31		•	5/9/11	6/8/11
23.	2011-107	Other-Member Inquiry-Retiree Benefits	NO	21		•	5/6/11	5/26/11
24.	2011-106	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	47		•	4/29/11	6/15/11
25.	2011-105	Conflict of Interest	YES	45		•	4/4/11	5/18/11
26.	2011-104	Human Resources	YES	30		•	5/2/11	6/1/11
27.	2011-103	Improper Supplier or Contractor Activity	NO	14		•	5/2/11	5/12/11
28.	2011-102	Retirement Benefits Fraud or Abuse	YES	21		•	4/30/11	5/21/11
29.	2011-101	Accounting & Auditing Matters	NO	6		•	4/30/11	5/5/11
30.	2011-100	Human Resources	NO	39		•	4/29/11	6/7/11
31.	2011-099	Fraud	YES	17		•	4/26/11	5/12/11
32.	2011-098	Badging or Access Control	YES	81		•	4/22/11	7/11/11
33.	2011-097	Badging or Access Control	YES	81		•	4/22/11	7/11/11

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34.	2011-096	Human Resources	NO	25		•	4/21/11	5/13/11
35.	2011-095	Human Resources	YES	28		•	4/20/11	5/17/11
36.	2011-094	Retaliation	YES	32		•	4/14/11	5/11/11
37.	2011-093	Human Resources	YES	16		•	4/6/11	4/21/11
38.	2011-092	Human Resources	NO	4		•	4/4/11	4/7/11
39.	2011-091	Human Resources	YES	67		•	4/1/11	6/10/11
40.	2011-090	Human Resources	YES	20		•	4/1/11	4/20/11
41.	2011-089	Human Resources	YES	21		•	4/1/11	4/21/11
42.	2011-088	Human Resources	NO	21		•	3/30/11	4/19/11
43.	2011-087	Human Resources	NO	15		•	3/25/11	4/8/11
44.	2011-086	Fraud	NO	27		•	3/11/11	4/6/11
45.	2011-085	Retirement Benefits Fraud or Abuse	YES	8		•	3/18/11	3/25/11
46.	2011-084	Human Resources	NO	4		•	3/14/11	3/17/11
47.	2011-083	Fraud	NO	124		•	3/11/11	7/12/11
48.	2011-082	Safety, Health and the Environment	YES	94		•	3/8/11	6/10/11
49.	2011-081	Human Resources	YES	3		•	3/7/11	3/9/11
50.	2011-080	Human Resources	NO	11		•	3/7/11	3/17/11
51.	2011-079	Data Privacy	NO	33		•	3/5/11	4/6/11
52.	2011-078	Fraud	NO	7		•	3/4/11	3/10/11
53.	2011-077	Data Privacy	NO	8		•	3/4/11	3/11/11

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54.	2011-076	Compliance with Laws and Regulations	NO	37		•	2/28/11	4/5/11
55.	2011-075	Human Resources	NO	22		•	2/23/11	3/16/11
56.	2011-074	Human Resources	YES	15		•	2/23/11	3/9/11
57.	2011-073	Accounting and Auditing Matters	NO	127		•	2/23/11	6/29/11
58.F	2011-072	Retirement Benefits Fraud or Abuse	YES	141		•	2/22/11	7/12/11
59.	2011-071	Human Resources	NO	8		•	2/16/11	2/23/11
60.	2011-070	Compliance with Laws and Regulations	NO	20		•	2/16/11	3/7/11
61.	2011-069	Human Resources	YES	17		•	2/16/11	3/4/11
62.	2011-068	Human Resources	YES	11		•	2/15/11	2/25/11
63.	2011-067	Other-Solicitation-Request for Compliance Training Materials	NO	15		•	2/15/11	3/1/11
64.	2011-066	Fraud	NO	31		•	2/15/11	3/17/11
65.	2011-065	EEOC or ADA Matters	NO	24		•	2/14/11	3/9/11
66.	2011-064	Other-Member Inquiry-Ethics Helpline Purpose & Cost	NO	43		•	2/8/11	3/22/11
67.	2011-063	Human Resources	YES	18		•	2/8/11	2/25/11
68.	2011-062	Retirement Benefits Fraud or Abuse	NO	33		•	2/5/11	3/9/11
69.	2011-061	Retirement Benefits Fraud or Abuse	NO	33		•	2/5/11	3/9/11

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70.	2011-060	Computer, E-mail, and Internet Use	YES	64		•	2/3/11	4/7/11
71.	2011-059	Compliance with Laws and Regulations	YES	23		•	2/3/11	2/25/11
72.	2011-058	Human Resources	NO	34		•	2/2/11	3/7/11
73.	2011-057	Fraud	YES	7		•	2/2/11	2/8/11
74.	2011-056	Outside Employment and Compensation (including ownership in other business)	NO	15		•	2/1/11	2/15/11
75.	2011-055	Compliance with Laws and Regulations	NO	15		•	1/31/11	2/14/11
76.	2011-054	Other-Member Inquiry-Tax Adjustment	NO	9		•	1/31/11	2/8/11
77.	2011-053	Other-Solicitation-Outside Vendor Services	YES	9		•	1/30/11	2/7/11
78.	2011-052	Other-Member Inquiry-Address Change	NO	11		•	1/28/11	2/7/11
79.	2011-051	Human Resources	YES	11		•	1/28/11	2/7/11
80.	2011-050	Other-Workers' Compensation Claim Inquiry	NO	5		•	1/24/11	1/28/11
81.	2011-049	Override of Internal Controls	YES	5		•	1/6/11	1/10/11
82.	2011-048	Compliance with Laws and Regulations	YES	24		•	1/5/11	1/28/11
83.	2010-047	Conflict of Interest	YES	21		•	12/24/10	1/13/11

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84.	2010-046	Fraud	NO	28		•	12/24/10	1/20/11
85.	2010-045	Human Resources	YES	16		•	12/22/10	1/6/11
86.	2010-044	Discrimination or Harassment	NO	14		•	12/21/10	1/3/11
87.	2010-043	Disability Fraud	YES	4		•	12/20/10	12/23/10
88.	2010-042	Safety, Health, and the Environment	YES	18		•	12/20/10	1/6/11
89.	2010-041	Compliance with Laws and Regulations	NO	6		•	12/15/10	12/20/10
90.	2010-040	Retirement Benefits Fraud or Abuse	YES	61		•	12/12/10	2/10/11
91.	2010-039	Compliance with Laws and Regulations	NO	44		•	12/8/10	1/20/11
92.	2010-038	Discrimination or Harassment	YES	3		•	12/7/10	12/9/10
93.	2010-037	Human Resources	YES	36		•	12/2/10	1/6/11
94.	2010-036	Sexual Harassment	YES	9		•	12/1/10	12/9/10
95.	2010-035	Fraud	YES	9		•	11/30/10	12/8/10
96.	2010-034	Conflict of Interest	YES	15		•	11/29/10	12/13/10
97.	2010-033	Sexual Harassment	YES	33		•	11/29/10	12/31/10
98.	2010-032	Disability Fraud	NO	15		•	11/24/10	12/8/10
99.	2010-031	Retirement Benefits Fraud or Abuse-Pension Spiking	NO	47		•	11/22/10	1/7/11
100.	2010-030	Conflict of Interest	YES	11		•	11/19/10	11/29/10
101.	2010-029	Information Security and Records	NO	30		•	11/16/10	12/15/10

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102.	2010-028	Retirement Benefits Fraud or Abuse	YES	12		•	11/8/10	11/19/10
103.	2010-027	Legal Issues	YES	55		•	11/4/10	12/28/11
104.	2010-026	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	158		•	11/2/10	4/8/11
105.	2010-025	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	40		•	10/30/10	12/8/10
106.	2010-024	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	43		•	10/27/10	12/8/10
107.	2010-022	Accounting and Auditing Matters	YES	74		•	10/26/10	1/7/11
108.	2010-021	Human Resources	NO	9		•	10/20/10	10/28/10
109.	2010-020	Legal Issues	NO	4		•	10/18/10	10/21/10
110.	2010-019	Retirement Benefits Fraud or Abuse-Pension Spiking	NO	13		•	10/17/10	10/29/10
111.	2010-018	Abuse of Authority	YES	62		•	10/13/10	12/13/10
112.	2010-017	Fraud-theft of Property Public Agency	NO	7		•	10/8/10	10/14/10
113.	2010-016	Retirement Benefits Fraud or Abuse-Unreported Death	NO	9		•	10/7/10	10/15/10
114.	2010-015	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	107		•	10/5/10	1/19/11
115.	2010-014	Business Relationships with Clients/Vendors	YES	49		•	10/5/10	11/22/10

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116.	2010-013	Data Privacy	NO	19		•	9/27/10	10/15/10
117.	2010-012	Improper Supplier or Contractor Activity	YES	110		•	9/27/10	1/14/11
118.	2010-011	Retirement Benefits Fraud or Abuse- Pension Spiking	YES	118		•	9/24/10	1/19/11
119.	2010-010	Retirement Benefits Fraud or Abuse-Members Fire Department	YES	98		•	9/23/10	12/29/10
120.	2010-009	Legal Issues - Misuse of State Property	YES	19		•	9/17/10	10/5/10
121.	2010-008	Retirement Benefits Fraud or Abuse - Legal Public Agency	NO	29		•	9/15/10	10/13/10
122.	2010-007	Abuse of Authority	YES	92		•	9/13/10	12/13/10

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